

The internal audit checklist is just one of the many tools available from the auditor's toolbox. The checklist ensures each audit concisely compares the requirements of ISO 9001:2015 and ISO 14001:2015, and your EQMS against actual business practice.

EQMS Internal Audit Checklist

Part 6: Performance Evaluation

Double click [here](#) to insert your organization's name or logo.

EQMS Internal Audit Checklist

Part 6: Performance Evaluation

Clause No.	Question No.	Audit Question	Audit Findings (Score '1' per box)				Audit Evidence Provide reference to documented information to justify the finding	Opportunities for Improvement (OFI) Provide suggestions for process improvement
			Compliant	OFI	Minor N/C	Major N/C		
9.1.3	323	Does your organization ensure that the results of analysis are used to evaluate conformity of products?						
9.1.3	324	Does your organization ensure that the results of analysis are used to evaluate the degree of customer satisfaction?						
9.1.3	325	Does your organization ensure that the results of analysis are used to evaluate the performance and effectiveness of the EQMS?						
9.1.3	326	Does your organization ensure that the results of analysis are used to evaluate if planning has been implemented effectively?						
9.1.3	327	Does your organization ensure that the results of analysis are used to evaluate the effectiveness of actions taken to address risks and opportunities?						
9.1.3	328	Does your organization ensure that the results of analysis are used to evaluate the performance of external providers?						
9.1.3	329	Does your organization ensure that the results of analysis are used to evaluate the need for improvements to the EQMS?						
9.2.1	330	Does your organization conduct internal audits at planned intervals to provide information on whether the EQMS conforms to your organization's own requirements for its EQMS?						

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			Compliant	OFI	Minor N/C	Major N/C		
9.2.1	331	Does your organization conduct internal audits at planned intervals to provide information on whether the EQMS conforms to the requirements of ISO 9001 & ISO 14001:2015?						
9.2.1	332	Does your organization conduct internal audits at planned intervals to provide information on whether the EQMS is effectively implemented and maintained?						
9.2.2	333	Does your organization plan, establish, implement and maintain an audit programme(s) including the frequency, methods, responsibilities, planning requirements and reporting, which take into consideration the importance of the processes concerned, changes affecting your organization, and the results of previous audits?						
9.2.2	334	Does your organization define the audit criteria and scope for each audit?						
9.2.2	335	Does your organization select auditors and conduct audits to ensure objectivity and the impartiality of the audit process?						
9.2.2	336	Does your organization ensure that the results of the audits are reported to relevant management?						
9.2.2	337	Does your organization take appropriate correction and corrective actions without undue delay?						
9.2.2	338	Does your organization retain documented information as evidence of the implementation of the audit programme and the audit results? (See 7.5.1b)						