

Integrated Audit Checklist.xlsx - Excel

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A4 4

Integrated Internal Audit Checklist												
<p>The integrated internal audit checklist will ensure your implementation audits concisely compare your management system against the requirements of ISO 9001:2015, ISO 14001:2015 and ISO 45001:2018.</p> <p>Each audit question phrases the ISO 9001:2015, 14001:2015 and 45001:2018 'shall' requirements as a question, in order to elicit either a 'yes' or 'no' response, that can be represented as an 'x'.</p>												
Question No	ISO 9001:2015	ISO 14001:2015	ISO 45001:2018	Audit Question	Conforming	Minor nonconformance +	Major nonconformance +	Opportunity to improve **	Audit Evidence & Notes	Opportunities to Improve	Status %	Error Checking Summary
4				<b>Context of the Organization</b>								
4.1				Organizational Context	x						100	
4.1				Organizational Context	x						100	
4.2a				Relevant Interested Parties		x					75	
4.2b				Relevant Interested Parties	x			x			100	
4.2c				Relevant Interested Parties			x				25	
4.3				Management System Scope	x						100	
4.3a				Management System Scope				x			25	
4.3b				Management System Scope		x					75	
4.3c				Management System Scope	x						100	

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Integrated Internal Audit Checklist

Integrated Internal Audit Checklist		Question No	ISO 9001:2015	ISO 14001:2015	ISO 45001:2018	Audit Findings				Audit Evidence & Notes	Opportunities to Improve	Status %	Error Checking Summary
						Conforming	Minor nonconformance +	Major nonconformance **	Opportunity to improve ***				
<p>The integrated internal audit checklist will ensure your implementation audits concisely compare your management system against the requirements of ISO 9001:2015, ISO 14001:2015 and ISO 45001:2018.</p> <p>Each audit question phrases the ISO 9001:2015, 14001:2015 and 45001:2018 'shall' requirements as a question, in order to elicit either a 'yes' or 'no' response, that can be represented as an 'x'.</p>					<p>Enter the letter 'x' into either Column H, I or J, to express the answer to each audit question. The scoring formula assumes each requirement is conforms, until an 'x' is entered into Column I or J.</p> <p>The error tracking cells in Column O display a message if there is more than response entered in Columns H, I and J, per row. The cells also display whether a response has yet to be entered. See Cells O6 and O7 as an example.</p>					<p>Provide a reference to documented information to justify each audit finding.</p> <p>Describe the nature of any minor or major nonconformance *.</p>	<p>Note any process or practice that seems weak, cumbersome, redundant or complex.</p> <p>An OFI may be an improvement to the management system or something that could prevent future problems **.</p>	Audit Score	0 Entries yet to be entered 0 Errors
4	Context of the Organization				<b>Audit Question</b>					<b>Audit Evidence &amp; Notes</b>	<b>Opportunities to Improve</b>	<b>Status %</b>	<b>Error Checking Summary</b>
14	4.3d Management System Scope	10	E	<p>When determining this scope, has your organization considered its activities, products, services and work-related activities and related product lifecycles, such as:</p> <ol style="list-style-type: none"> <li>1. Raw material acquisition;</li> <li>2. Manufacture;</li> <li>3. Packaging/Transport/Delivery;</li> <li>4. Use;</li> <li>5. End of life treatment;</li> <li>6. Final disposal.</li> </ol>	x			x				100	
15	4.3e Management System Scope	11	E	<p>When determining this scope, has your organization considered its activities, products and services over which it has the authority and to exercise control and influence?</p>		x						75	
16	4.3 Management System Scope	12	Q E HS	<p>Has your organization applied all the requirements of ISO 9001:2015, ISO 14001:2015 and ISO 45001:2018 if they are applicable within the determined scope of the QEHS management system?</p>			x					25	
17	4.3 Management System Scope	13	Q	<p>Does the scope state the types of products and services covered, and provide justification for any requirement of ISO 9001:2015 that your organization determines is not applicable to the scope of its QEHS management system?</p>		x						75	
18	4.3 Management System Scope	14	E HS	<p>When determining scope, has your organization considered and documented its ability and authority to control and influence factors relating to external and internal issues?</p>	x							100	
19	4.3 Management System Scope	15	Q E HS	<p>Is the scope of your organization's QEHS management system available and maintained as documented information and available to interested parties and customers? (See 7.1.1)</p>	x							100	

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A1 Use this audit checklist to determine the extent to which your integrated management system conforms to requirements by determining whether those requirements have been effectively implemented and

1 Use this audit checklist to determine the extent to which your integrated management system conforms to requirements by determining whether those requirements have been effectively implemented and maintained. This template will help you to assess the state of your existing management system and identify process weakness to allow a targeted approach to prioritizing corrective action.

2  
3  
4

5 100%  
6 80%  
7 60%  
8 40%  
9 20%  
10 0%

11 3 3 5 20  
12 4 14 10 29  
13 6 5 10 70  
14 2 15 12 31  
15 7 15 11 150  
16 7 7 9 38  
17 1 4 8 14

18 **Compliance per Domain**  
19 This chart displays your organization's conformity to the main clauses of the standards (blue bar). Non conforming requirements are shown as the purple and red bars. OFIs are shown as the green bar.

20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31

4 Context 5 Leadership 6 Planning 7 Support 8 Operation 9 Evaluation 10 Improvement

Compliant Minor NC Major NC OFI

**Compliance per Standard**  
This chart displays the percentage and ratio of various audit non-conformances throughout the requirements of ISO 9001:2015, ISO 14001:2015 and ISO 45001:2018.

Quality Environmental Health & Safety

**Non-conformance Summary**  
This chart displays the percentage and ratio of various categories of non-conformances throughout the your organization's management system.

69% 13% 12% 6%

	Compliant	Minor NC	Major NC	OFI
4 Context	20	5	3	3
5 Leadership	29	10	14	4
6 Planning	70	10	5	6
7 Support	31	12	15	2
8 Operation	150	11	15	7
9 Evaluation	38	9	7	9
10 Improvement	14	8	4	1
	352	65	63	30

	% Compliant	% Non Compliant
Quality	83.0	17.0
Environmental	82.6	17.4
Health & Safety	85.9	14.1

Compliant	352	124
Minor NC	65	
Major NC	63	
Opportunities	30	

4.1 Organ  
4.2 Relev  
4.3 Mana  
4.4 Mana  
4.4.1 Mar  
4.4.2 Mar  
5.1.1 Leas  
5.1.2 Leas  
5.2.1 Esta  
5.2.2 Con  
5.3 Roles  
5.4 Const  
6.1.1 Acti  
6.1.2 Acti  
6.1.2 Env  
6.1.2.1 H  
6.1.2.2 As  
6.1.2.3 As  
6.1.3 Con  
6.1.4 Plar  
6.2.1 Inte  
6.2.2 Obj  
6.3 Plann  
7.1.1 Ger  
7.1.2 Peo  
7.1.3 Infr  
7.1.4 Ope  
7.1.5.1 M  
7.1.5.2 M

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